Grievance Procedure, Mathematics Department

**Purpose:** To articulate the procedure for hearing grievances arising within the Department of Mathematics

**Applies to:** Faculty, staff, and students within the Department of Mathematics

**Policy Statement:**
Pursuant to Article XII of the University Senate Code and VII of the University Senate Rules and Regulations of The University of Kansas, the Department of Mathematics establishes the following procedure to hear grievances arising within the Department of Mathematics. Grievances arising within the Department of Mathematics must be heard under these procedures unless exceptional circumstances, as determined by the College of Liberal Arts and Sciences, make it more appropriate for those grievances to be heard at the College level. Appeal of a grievance heard at the Department level is to the Judicial Board. This procedure shall not be used to hear disputes assigned to other hearing bodies under USRR Article VI, Section 4.

For disputes involving alleged academic misconduct, see the College of Liberal Arts and Sciences' policy on academic misconduct. For alleged violations of student rights, the initial hearing normally will be at the Department level. There is an option to hold an initial hearing at the Judicial board level if both parties agree, or either party petitions the Judicial Board chair to have the hearing at the Judicial Board level and the petition is granted. The petition must state why a fair hearing cannot be obtained at the Department level; the opposing party has an opportunity to respond to the petition (USRR 6.4.3.1).

Except as provided in USRR 6.5.4, no person shall be disciplined for using the grievance procedure or assisting another in using the grievance procedure.

The Department Office shall provide a copy of this procedure to anyone who requests it.

1. To start the grievance process, the complainant must submit a written grievance to the Associate Chair (hereinafter the "Grievance Officer") of the Department of Mathematics. The complaint shall contain a statement of the facts underlying the complaint and specify the provision(s) of the Faculty Code of Conduct, University Senate Code, the University Senate Rules and Regulations, the Code of Student Rights and Responsibilities, or other applicable rule, policy, regulation, or law allegedly violated. The complaint shall also indicate the witnesses or other evidence relied on by the complaining party, and copies of any documents relevant to the complaint shall be attached to the complaint.

2. At the time the complaint is submitted to the Department, the complaining party shall provide a copy of the complaint, with accompanying documents, to the respondent(s).

3. Upon receipt of the complaint, the Grievance Officer shall contact the respondent to verify that the respondent has received a copy of the complaint and to provide the respondent with a copy of these procedures.

4. Pursuant to University Senate Code Article XII.2, a respondent has the privilege of remaining silent and refusing to give evidence in response to a complaint. The respondent also has the right to respond and give evidence in response to the complaint.

5. If the respondent chooses to respond, the respondent shall submit a written response to the Grievance Officer within 14 calendar days of receiving the complaint. The response shall contain the respondent's statement of the facts underlying the dispute as well as any other defenses to the allegations in the complaint. The response shall also identify the witnesses or other evidence relied on...
by the respondent and shall include copies of any documents relevant to the response. The respondent shall provide a complete copy of the response to the complaining party.

6. Upon receipt of the response, the Grievance Officer shall contact the complaining party to verify that a copy of the response has been provided.

7. Pursuant to USRR 6.8.4.2 the Grievance Officer may contact other hearing bodies within the University to determine whether a grievance or complaint involving the underlying occurrence or events is currently pending before or has been decided by any other hearing body.

8. Time limits. To use this procedure the complainant must file the written complaint with the Grievance Officer within six months from the action or event that forms the basis of the complaint. The six-month time period shall be calculated using calendar days (including weekends and days during which classes are not in session).

9. Upon receiving the complaint, if the Grievance Officer determines that any of the following grounds exist, he or she may recommend to the Chair of the Department that the complaint be dismissed without further proceedings. The grounds for such dismissal are: (a) the grievance or another grievance involving substantially the same underlying occurrence or events has already been, or is being, adjudicated by proper University procedures; (b) the grievance has not been filed in a timely fashion; (c) the Department lacks jurisdiction over the subject matter; (d) the grievance fails to allege a violation of a University rule; (e) the party filing the grievance lacks standing because he or she has not suffered a distinct injury as a result of the challenged conduct and has not been empowered to bring the complaint on behalf of the University; or (f) the party filing the grievance has been denied the right to file grievances pursuant to USRR 6.5.4.

10. If the Grievance Officer determines that a grievance on its face properly should be heard by another body, he or she will recommend that the Chair of the Department send the grievance to the appropriate hearing body without further proceedings in the Department. The Chair of the Department will send a copy of the referral to the complainant(s) and any responding parties.

11. Prior to scheduling a hearing, the parties shall participate in mediation of the dispute unless either party waives mediation. Mediation shall be governed by USRR 6.2.3.

12. If mediation is successful, the mediator will forward to the Chair of the Department, the Grievance Officer, and all parties a letter describing the outcome of the mediation and the terms upon which the parties have agreed to resolve the dispute. This letter shall be a recommendation to the Chair of the Department. The Chair of the Department shall notify the mediator, the Grievance Officer, and the parties that the recommendation has been accepted, modified, or rejected.

13. If mediation is not successful, the mediator will notify the chair of the Department, the Grievance Officer, and the parties that the mediation has terminated. If mediation is not successful, or if it is waived by either party, the Grievance Officer shall appoint a three person faculty committee (hereinafter "the Hearing Committee"), including a chair of the committee, selected from the current members of the Faculty to consider the complaint. The Hearing Committee members shall be disinterested parties who have not had previous involvement in the specific situation forming the basis of the complaint. The Hearing Committee will schedule a hearing no later than 30 calendar days from the written submission of the complaint. The 30-day period may be extended for good cause as determined by the chair of the Hearing Committee. The 30-day period shall be suspended during the mediation process. The hearing will be closed unless all parties agree that it shall be made public.
14. Each party may represent himself or herself or be represented by an advisor or counsel of his or her choice.

15. Each party has the right to introduce all relevant testimony and documents if the documents have been provided with the complaint or response.

16. Each party shall be entitled to question the other party's witnesses. The committee may question all witnesses.

17. Witnesses other than parties shall leave the hearing room when they are not testifying.

18. The chair of the Hearing Committee shall have the right to place reasonable time limits on each party's presentation.

19. The chair of the Hearing Committee shall have the authority and responsibility to keep order, rule on questions of evidence and relevance, and shall possess other reasonable powers necessary for a fair and orderly hearing.

20. The hearing shall not be governed by the rules of evidence, but the chair of the committee may exclude information he or she deems irrelevant, unnecessary, or duplicative. Statements or admissions made as part of the mediation process are not admissible.

21. The Hearing Committee will make an audiotape of the hearing but not of the deliberations of the Committee. The audiotape will be available to the parties, their authorized representatives, the Hearing Committee, the Grievance Officer, and the Chair of the Department. If a party desires a copy of the audiotape or a transcript of the tape, that party will pay for the cost of such copy or transcript. In the event of an appeal, the audiotape will be provided to the appellate body as part of the record of the case.

22. After the presentation of evidence and arguments the committee will excuse the parties and deliberate. The Hearing Committee's decision will be a written recommendation to the Chair of the Department. The Hearing committee shall base its recommendations solely upon the information presented at the hearing.

23. The committee will send its written recommendation to the Chair of the Department, the Grievance Officer, and the parties as soon as possible and no later than 14 calendar days after the end of the hearing.

24. Within 14 calendar days of receiving the committee recommendation, the Chair of the Department will notify the parties of the acceptance, modification, or rejection of the recommendation. The Chair of the Department will advise the parties of the procedure available to appeal the decision.

Approved by:
The Office of the University General Counsel

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Review Cycle:
Annual (As Needed)

Related Policies:
University Senate Rules and Regulations
University Senate Code
Faculty Senate Rules and Regulations

Related Procedures:
Grievance Procedure for the College of Liberal Arts and Sciences
Grievance Procedure, Office of the Provost

Review, Approval & Change History:
09/29/2015: Corrected “Article” links and added links that were missing.
06/26/2010: These procedures have been reviewed by the Office of the General Counsel and are effective.